

RS, SCS & Food Safety Audits Guidelines for Walmart China Direct Import New Suppliers

Responsible Sourcing, Supply Chain Security, and Food Safety Compliance audits are mandatory requirements to become a qualified Walmart Direct Import supplier. Below are the main process to assist suppliers to understand and complete the new facility on-boarding process.

Responsible Sourcing (RS) & Supply Chain Security (SCS) Process

1. Review facility [RS Disclosure Policy and disclose the facility](#), and [SCS Disclosure Policy](#);

2. Disclose facility:

- Fill in attached disclosure form as per disclosure policy required;



Disclosure Form

- Send back the disclosure form with scan copy of the facility's business license or any of below equivalent document if business license is not applicable in the country/area where the facility locates.
 - ✓ Proof of tax filings; Food safety certificates; Utility bills (electricity or water bills); Water treatment certificates, etc.; or
 - ✓ Any other government issued document that contains the name and address of the facility

3. Audit:

Responsible Sourcing (RS) Audit (Some Audit firms call it Social Compliance)

Provide ONE of below [Approved Audit Program](#) report conducted in last 12 months for review; if no, supplier or facility contact audit firm and choose one for audit;

amfori BSCI	Responsible Business Alliance (RBA)	SA8000
WRAP	Sedex SMETA	Fair Trade USA
SIZA	Best Aquaculture Practices (BAP)	Equitable Food Initiative (EFI)
ICTI Ethical Toy Program	Intertek Small Supplier Program	

Supply Chain Security (SCS) Audit

Complete ONE of below SCS audit options:

- Option 1: Obtain a [Walmart SCS audit](#)
- Option 2: Obtain a SCS audit from an [Approved Third-party Program](#);
- Option 3: Demonstrate that it is [CTPAT Tier 2 or 3 certified](#), have an AEO accepted by Walmart or another Walmart accepted waiver;
- Option 4: Participate in the [Small Supplier Program](#)

4. Submit the audit Report/Certificate to RSSFACN@walmart.com for assessment;

5. Review [RS Facility Audit Assessment\(s\)](#) and [SCS Audit and Assessment Guidance](#) result-new Direct Import facility must obtain both RS & SCS Green/Yellow rating prior to receive orders to produce products for Walmart.

Food Safety Audit Process

Walmart requires processing and / or co-packing facility must meet food safety audit requirement.

Please check which of the following three categories of facilities your facility belongs to, and take the corresponding actions required to provide the supporting documents to Cindy.liu@Walmart.com to get food safety approval for your facility.

Category 1 Facility that hold GFSI-recognized certificate

One Action Needed:

Provide one of the following GFSI certificates

BRC, IFS, SQF GLOBAL GAP, FSSC 22000, China HACCP, GRMS, CANADA GAP, PRIMUS GFS, GAA (BAP), JFSM, Asia GAP (for more details, please visit www.mygfsi.com)

Category 2 Facility that hold ISO 22000, HACCP certificate or food safety audit report based on GFSI global market program

Two Actions Needed:

1. Provide one of the following documents.

ISO 22000, HACCP certificate or food safety audit report based on GFSI global market program

2. Provide GFSI certification plan

Facility should proceed to obtain GFSI certificate within 12 months of the product award, GFSI certification plan should be filled in the attached form and the complete form should be provided

Category 3 Facility that does not have the above certificates or audit report

Two Actions Needed:

1. Facility needs to undergo food safety audit based on GFSI global market program. For more details, please contact Cindy.liu@Walmart.com

2. Provide GFSI certification plan (same as above)